Liquid Capital Statement

for the month of 31-JAN-18

of M/s. Azee Securities (Pvt.) Ltd.

Submission Date 16-FEB-2018 10:11:42

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		Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1 Ass	sets			
1.1 Pro	operty & Equipment	11,524,202	11,524,202	
	angible Assets	6,015,000	6,015,000	
	estment in Govt. Securities vestment in Debt. Securities			
1110	isted than:			
	% of the balance sheet value in the case of tenure upto 1 year.			
ii. 7	.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	10% of the balance sheet value, in the case of tenure of more than 3 years.			
	ınlisted than: 0% of the balance sheet value in the case of tenure upto 1 year.			
ii. 1.	2.5% of the balance sheet value, in the case of tenure from 1-3 years.			
iii. 1	15% of the balance sheet value, in the case of tenure of more than 3 years.			
	restment in Equity Securities listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	124 077 054	22 507 222	100 570 521
	pective securities whichever is higher.	131,077,854	22,507,323	108,570,531
ii. If	f unlisted, 100% of carrying value.			
	subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money wided that shares have not been alloted or are not included in the investments of securities broker.			
	00% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
tha	at are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
Pro	vided that 100% haircut shall not be applied in case of investment in those securities which are dged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	Favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	nedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
	estment in subsidiaries /estment in associated companies/undertaking			
	listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
wh	ichever is higher.			
	f unlisted, 100% of net value. tutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	17,202,469	17,202,469	
	any other entity.	17,202,409	17,202,469	
1.9 Ma	rgin deposits with exchange and clearing house.	16,723,635	0	16,723,635
	posit with authorized intermediary against borrowed securities under SLB. her deposits and prepayments			
	crued interest, profit or mark-up on amounts placed with financial institutions or debt securities			
	c.(Nil) % in respect of markup accrued on loans to directors, subsidiaries and other related parties			
	in respect of markup accrued on loans to directors, subsidiaries and other related parties ridends receivables.			
1.14 Am	nounts receivable against Repo financing.			
	nount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement all not be included in the investments.)			
	hort Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
<u> </u>	Receivables other than trade receivables			
	ceivables from clearing house or securities exchange(s)			
110	00% value of claims other than those on account of entitlements against trading of securities in all			
	arkets including MtM gains.			
	Receivable on entitlements against trading of securities in all markets including MtM gains. ceivables from customers			
	cervables from customers a case receivables are against margin financing, the aggregate if (i) value of securities held in the			
blo	cked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	orket value of any securities deposited as collateral after applying VaR based haircut. Deputy of net balance sheet value or value determined through adjustments.			
	ncase receivables are against margin trading, 5% of the net balance sheet value.			
	Net amount after deducting haircut			
	Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as lateral upon entering into contract,			
iii. N	Net amount after deducting haricut			
	Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. Balance sheet value	22,234,866	0	22,234,866
	ncase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	208,863,968	161,243,616	161,243,616
valu	ue of securities purchased for customers and held in sub-accounts after applying VAR based	, , , , , , , , , , , , , , , , , , , ,	, , , ,	, ,
	ircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of curities held as collateral after applying VaR based haircuts.			
	100% haircut in the case of amount receivable form related parties.			
1.18 Ca	ish and Bank balances			
	ank Balance-proprietory accounts	38,275	0	
<u> </u>	Bank balance-customer accounts Cash in hand	25,137,080 156,200	0	

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of M/s. Azee Securities (Pvt.) Ltd.

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2.1 Trade Payable so	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
Ended Paysables	1.19	Total Assets	438,973,549	218,492,610	334,104,203
Flayable to exchange and clearing about 5 Flayable to customes 1, Payable to the top	2	Liabilities			
Byperbols occurrent products 1.8 Popular to customers 1.8 Popular to	2.1				
Figure 1 Figure 1 Figure 2 Figure 2 Figure 3		i. Payable to exchanges and clearing house			
Current Liabilities 2			41 860 715	0	41 860 715
In Accrusis and other payables 22,638,600 1 22,638,600 1 179,606,740 0 179,606,740	2.2		,000,0	J	11,000,110
Institute from consulting 175,606,740					
N. Current portion of suboidinated loans V. Surent portion of suboidinated loans V. Defend Labilities V. Other labilities as per accounting principles and inclured in the financial statements V. Other labilities as per accounting principles and inclured in the financial statements V. Other labilities as per accounting principles and inclured in the financial statements V. Other labilities as per accounting principles and inclured in the financial statements V. Other labilities of the state of the stat					
V. Current portion of long term liabilities vi. Devokation for bail debts vi. Povokation for bail debts vi. Vi. Vi. Povokation for bail debts vi. V			179,606,740	0	179,606,740
vi. Provision for band debts vii. Provision for travation vii. Other liabilities as per accounting principles and included in the financial statements vii. Other liabilities as per accounting principles and included in the financial statements viii. LongTerm financing li. LongTerm financing li. LongTerm financing li. Staff referement benefits viii. Advance against shees for increase in Capital of Socurities broken-100% halicult may be allowed in respect of advance against shees for increase in Capital of Socurities broken-100% halicult may be allowed in respect of advance against shees for increase in Capital of Socurities broken-100% halicult may be allowed in respect of advance against shees for increase in Capital di. Research of advance against shees for increase in Capital of the capital of the research of advance and instruction of the proposed enhanced share capital di. Research regulatory approved have been obtained di. Increase in capital capital instruction of the increase in gain dury capital has been completed in releasing to the increase in gain dury capital has been completed in releasing to the increase in gain dury capital has been completed in viii. Viii. The fished that such advance as gainst the increase in capital viii. Viii. The fished that such advance as gainst the increase of Capital viii. Viii. The fished that such advance as gainst the increase of Capital viii. Viii. The fished that such advance as gainst the increase in capital viii. Viii. The fished that such advance as gainst the increase of Capital viii. Viii. The fished that such advance as gainst the increase of Capital viii. Viii. The fished that such advance as gainst the increase of Capital viii. Viii. The fished that such advances as gainst the increase of Capital viii. Viii. The fished that the fish		v. Current portion of long term liabilities			
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a financial institution including amount due against finance lease Other long-term financing		i. Long-Term financing			
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I. Staff retirement benefits II. Advance against shares for increase in Capital of Securities broker 100% halicult may be allowed in espect of advance against shares if:					
III Advance against shares for increase in Capital of Secutities broken 10% haircut may be allowed in respect of advance against shares if: a The existing authorized share capital allows the proposed enhanced share capital b Boad of Directors of the company has approved the increase in capital c Relevant Regulatory approvals have been obtained d There is no unreasonable delay in Issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. Author is surfield that such advance is against the Increase of capital. Author is surfield that such advance is against the Increase of capital. Author is surfield that such advance is against the Increase of capital. Author is surfield that such advance is against the Increase of capital. Author is surfield that such advance is against the Increase of capital. Author is surfield that such advance is against the Increase of capital. Author is surfield that such advance is against the Increase of capital. Author is surfield that such advance is against the Increase of capital. Author is surfield that such advance is against subordinated Loans which fulfill the conditions are specified by SECP at a such advance is a subordinated Loans which fulfill the conditions are specified in a Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period B No halicut will be allowed against short term portion which is repayable within next 12 months. C In case of early repayment of loan, aguistment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. B Security of the submitted to conditions are submitted to exchange. B Security of the submitted to conditi					
a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Dilectors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in its use of shares against advance and all regulatory requirements relating to the increase in path but or path as the completed. Auditor is satisfied that such advance is against the increase of capital. In Committee the increase in path as the completed of the completed of the complete of the c					
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3.1 Repo adjustment					
	3.7	Repo adjustment			

Liquid Capital Statement

for the month of 31-JAN-18

of M/s. Azee Securities (Pvt.) Ltd.

Submission Date 16-FEB-2018 10:11:42

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securities.			
	In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of			
	the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount			
	of cash deposited by the customer and the value of securites held as collateral/pledged with securities			
	exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
2.11	after applying haircuts.	0	0	
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	169,867,494	193,492,610	89,998,148